

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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**Mosbacher, Georgette Paulsin**

Ambassador to the Republic of Poland, Department of State

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Other Federal Government Positions Held During the Preceding 12 Months:

Commissioner: US Advisory Commission, on Public Diplomacy (7/2016 - Present)

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Names of Congressional Committees Considering Nomination:

- **Committee on Foreign Relations**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Mosbacher, Georgette Paulsin [electronically signed on 10/02/2017 by Mosbacher, Georgette Paulsin in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Huitema, David, Certifying Official [electronically signed on 02/21/2018 by Huitema, David in Integrity.gov]**

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/22/2018 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Georgette Mosbacher Enterprises, Inc.	New York, New York	Corporation	President	1/1992	Present
2	The Foreign Policy Association	New York, New York	Non-Profit	Fellow	1/2002	Present
3	The Dilenschneider Group	New York, New York	Corporation	Advisory Board Member	1/2011	Present
4	Indiana University Foundation	Bloomington, Indiana	University/College	Board Member	1/1991	Present
5	Royal United Services Institute	London, Outside U.S.	Non-Profit	Board Member and Chair of Public Diplomacy Commission	1/2011	1/2015
6	Atlantic Council	Washington, District of Columbia	Non-Profit	Board Member	1/2011	Present
7	Business Executives For National Security	Washington, District of Columbia	Non-Profit	Board Member	1/2015	10/2016
8	Intrepid Fallen Heroes Fund	New York, New York	Non-Profit	Trustee	1/2011	11/2016
9	Borghese, Inc.	New York, New York	Corporation	Chief Executive Officer	1/2001	7/2015
10	Fox News Network, LLC	New York, New York	Limited Liability Company	Contributor	9/2015	1/2017
11	Intrepid Museum Foundation	New York, New York	Non-Profit	Trustee	1/2000	11/2016

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
12	Women and Girls Lead	San Francisco, California	Non-Profit	Leadership Council Member	1/2013	Present
13	Brasilinvest	Sao Paulo, Outside U.S.	Corporation	Member, Board of Advisors	1/2006	1/2017
14	Tufts University, Friends of Fletcher Society	Medford, Massachusetts	University/College	Member	1/2014	1/2017
15	Tufts University, Edward R. Murrow Center	Medford, Massachusetts	University/College	Board member	1/2017	Present
16	Wall Street Week	New York, New York	Corporation	Advisory Board Member	1/2015	Present
17	WISeKey USA	Geneva, Outside U.S.	Corporation	Advisory Board Member	1/2016	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #1	No			
1.1	Cash account in a U.S. brokerage firm	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	Proshares S&P 500 Aristocrats ETF (NOBL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.3	Proshares Russell 2000 Dividend Growers ETF (SMDV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	SPDR S&P 500 ETF TR (SPY)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.5	Brandes International Small Cap Equity Fund (BISMV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	Delaware Emerging Markets Fund (DEMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.7	Federated Kaufmann LC Fund (KLCIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	Federated International Leaders Fund (FGFLX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.9	Maingate MLP Fund (IMLPX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.10	Principal Midcap Fund (PCBIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.11	TCW Diversified Value Fund (TGDIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.12	Angel Oak Multi-Strategy Income Fund (ANGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.13	Blackrock Strategic Income Fund (BSIIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.14	Dreyfus/Standish Global Fixed Income Fund (SDGIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.15	Guggenheim Floating Rate Fund (GIFIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.16	JP Morgan Strategic Income Fund (JSOSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.17	Lord Abbett High Yield Fund (LHYFX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.18	Metropolitan West Total Return Bond Fund (MWTIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.19	Nuveen Symphony Credit Fund (NCOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.20	TCW Total Return Bond Fund (TGLMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1.21	AQR Long Short Equity Fund (QLEIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000	
1.22	Blackstone Alternative Multi-Strategy Fund (BXMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
1.23	Catalyst/Millburn Hedge Strategy Fund (MBXIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
1.24	Touchstone Merger Arbitrage Fund (TMGYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)	
2	IRA #2	No				
2.1	John Hancock Multimanager Lifestyle Balanced Fund Class C (JCLBX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000	
3	Georgette Mosbacher Enterprises, Inc.	See Endnote	N/A	\$1,001 - \$15,000	Business income	\$295,725
4	Borghese, Inc.	N/A			Severance	\$454,574
5	Fox News Network, LLC	N/A			Wages	\$31,336
6	WISeKey International Holding Ltd.	N/A	\$15,001 - \$50,000		Capital Gains Dividends	\$100,001 - \$1,000,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Feipu LLC	Los Angeles, California	This contract is through Georgette Mosbacher Enterprises, Inc. I receive \$10,000 a month for consulting services. This contract will be terminated upon confirmation.	5/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	Georgette Mosbacher Enterprises, Inc.	New York, New York	Upon confirmation, I will resign from my position as President of Georgette Mosbacher Enterprises Inc. At the time of my resignation, all outstanding client fees will be fixed. During my appointment, Georgette Mosbacher Enterprises Inc. will remain dormant and will not advertise or maintain a website. I will not perform any services for the entity, except that I will comply with any requirements involving legal filings, taxes and fees that are necessary to maintain the firm while it is in inactive status.	1/1995

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Feipu LLC	Los Angeles, California	Consulting Services
2	Fox News Channel, LLC	New York, New York	Contributor
3	Georgette Mosbacher Enterprises, Inc.	New York, New York	President of consulting firm
4	Borghese, Inc.	New York, New York	CEO of global cosmetics manufacturer
5	WISeKey SA	Geneva, Outside U.S.	Consulting Services
6	Skybridge Capital	New York, New York	Consulting Services provided through Georgette Mosbacher Enterprises, Inc.
7	Haymon Boxing	Las Vegas, Nevada	Consulting Services provided through Georgette Mosbacher Enterprises, Inc.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Brokerage account #1				
2.1	O'Connor Global Multi-Strategy Alpha WM LLC	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2.2	U.S. brokerage account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Alpine Associates LLC	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4	Alpine Heritage, L.P.	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
5	MMU Aviation LLC (private jet service)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6	Brokerage account #2				
6.1	Gannett Welsh & Kotler Municipal Bond Separately Managed Account	No			
6.1.1	U.S. brokerage account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.1.2	Indiana, finance authority	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
6.1.3	NY, dormitory authority	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.1.4	Delaware, GO bond	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1.5	Minnesota, GO bond	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.1.6	Oxford OH, Miami university bond	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.1.7	Virginia, public school authority bond	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.1.8	Indiana, finance authority	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.1.9	Arizona, Electric Power bond	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6.1.10	Massachusetts, Sales Tax Revenue bond	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7	Brokerage account #3				
7.1	Lazard International Separately Managed Account	No			
7.1.1	Associated British Foods ADR (ASBFY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.2	BHP Billiton Spon ADR (BHP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.3	Accenture PLC (ACN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.4	Anheuser Busch ADR (BUD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.5	AON PLC (AON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.6	Assa Abloy ADR (ASAZY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.7	Banca Mediolanum SPA ADR (BNCDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.8	British American Tobacco ADR (BTI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.9	Carlsberg Spon ADR (CABGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.10	CDN National Railway CAD (CNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.11	Compass Group ADR (CMPGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.12	Contl AG-Spons ADR (CTTAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.13	Daiwa House ADR Japan (DWAHY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.14	DBS Group Hldgs ADR (DBSDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.15	Ferguson Spon ADR (Fergy)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.16	Fresenius SE & Co ADR (FSNUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.17	KDDI ADR (KDDIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.18	Komatsu ADR (KMTUY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.19	Makita ADR Japan (MKTAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.20	Medtronic (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.21	Michelin Compagnie Generale ADR (MGDDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.22	Novartis AG ADR (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.23	Prudential ADR UK (PUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.24	Red Electrica Corp ADR (RDEIY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.25	Relx PLC ADR (RELX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.26	Royal Dutch Shell ADR (RDS.A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.27	Ryanair Holdings ADR (RYAAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.28	Ryohin Keikaku Unsponsored ADR (RYKKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.29	Sampo OYJ ADR (SAXPY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.30	SAP SE ADR (SAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.31	Shire ADR (SHPG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.32	Sony ADR New Japan (SNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.33	Statoil ASA ADR (STO)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
7.1.34	Suncor Energy New CAD (SU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.35	Telenor ASA ADR (TELNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.36	Tokyo Electron ADR (TOELY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.37	Unilever American SHS ADR (UL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.38	Valeo Spon ADR (VLEEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.39	Vinci SA ADR (VCISY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.40	Wolters Kluwer NV Sponsored ADR Netherlands (WTKWY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.1.41	Worldpay Group Unponsored ADR (WPYGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Brokerage account #4	No			
8.1	NFJ Dividend Value Separately Managed Account	No			
8.1.1	General Dynamics Corp (GD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.2	Abbvie Inc (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.3	Aetna Inc (AET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.4	American Electric Power co (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.5	American Express Co (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.6	Ameriprise Financial Inc (AMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.7	Apache Corp (APA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.8	Apple Inc (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.9	AT&T Inc (T)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.10	Bank of America Corp (BAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.11	Brighthouse Financial Inc (BHF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.12	Carnival Corp (CCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.13	CBS Corp (CBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.14	Chevron Corp (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.15	Cisco Systems Inc (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.16	Citigroup Inc (C)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.17	Dr. Pepper Snapple Group Inc (DPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.18	Eastman Chemical Co (EMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.19	Eaton Corp PLC (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.20	Entergy Corp (ETR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.21	Everest RE Group LTD Bermuda (RE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.22	Exxon Mobil Corp (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.23	Fifth Third Bancorp (FITB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.24	General Motors Co (GM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.25	Honeywell Intl Inc (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.26	HP INC (HPQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.27	Intel Corp (INTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.28	Intl Paper Co (IP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.29	Johnson & Johnson Com (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.30	JP Morgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.31	Kansas City STHN New (KSU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.32	Lam Research Corp (LRCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.33	Medtronic PLC (MDT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.34	Metlife Inc (MET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.35	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.36	Oracle corp (ORCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.37	Pfizer Inc (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.38	PNC Financial Services Group (PNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.39	Procter & Gamble Co (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.40	Public Service Enterprise Group Inc (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.41	Quest Diagnostics Inc (DGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.42	Royal Dutch Shell PLC ADR (RDS.A)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.43	Schlumberger Ltd Netherlands Antilles (SLB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.44	Travelers Cos Inc (TRV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.45	United Technologies Corp (UTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.46	US Bancorp Del (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.47	Verizon Communications Inc (VZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.48	Wal Mart Stores Inc (WMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.49	Wells Fargo & Co (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Brokerage account #5	No			
9.1	Fayez Sarofim Growth Separately Managed Account	No			
9.1.1	Abbvie Inc (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.2	Abbott Labs (ABT)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.3	Alphabet Inc CL C (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.4	Altria Group Inc (MO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.5	American Express Co (AXP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.6	Anheuser Busch Inbev Spon ADR (BUD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.7	Apple Inc (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.8	Blackrock Inc (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.9	Celgene Corp (CELG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.10	Chevron Corp (CVX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.11	Chubb LTD CHF (CB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.12	Coca Cola Co Com (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.13	Comcast Corp New CL A (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.14	Conocophillips (COP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.15	Exxon Mobil Corp (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.16	Facebook Inc CL A (FB)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.17	Intercontinentalexchange Group (ICE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.18	Intuitive Surgical Inc (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.19	JPMorgan Chase & Co (JPM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.20	Estee Lauder Cos CL A (EL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.21	McDonalds Corp (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.22	Microsoft Corp (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.23	Nestle S A Sponsered ADR (NSRGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.24	Nike Inc Cl B (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.25	Novartis AG Spon ADR (NVS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.26	Novo Nordisk ADR Denmark ADR (NVO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.27	Occidental Petroleum Crp (OXY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.28	Pepsico Inc (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.29	Philip Morris Intl Inc (PM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.30	Praxair Inc (PX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.31	Procter & Gamble Co (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.32	Roche Hldg Ltd Spons ADR Switz (RHHBY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.33	State Street Corp (STT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.34	Texas Instruments (TXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.35	Twenty-First Century Fox Inc Cl A (FOXA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.36	Union Pacific Corp (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.37	United Health Group Inc (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.38	United Technologies Corp (UTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.39	Visa Inc Cl A (V)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.40	Walt Disney Co (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.41	Wells Fargo & Co New (WFC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	CVR Refining LP	N/A	\$50,001 - \$100,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	UBS Financial Services	Exercised Line of Credit	\$250,001 - \$500,000	2015	3.987%	Revolving
2	Bank of America	Mortgage on Personal Residence	\$100,001 - \$250,000	2015	3.875%	30 years
3	Bank of America	Mortgage on Personal Residence	\$100,001 - \$250,000	2015	3.875%	30 Years
4	JPMorgan Chase Bank, NA	Credit Card	\$10,001 - \$15,000	1997	10.25% (always paid before interest accrues)	Revolving
5	JP Morgan Chase Bank, NA	Credit Card	\$15,001 - \$50,000	1997	10.25% (always paid before interest accrues)	Revolving
6	Bank of America, NA	Credit Card	\$15,001 - \$50,000	2007	11.24% (always paid before interest accrues)	Revolving
7	American Express	Credit Card	\$15,001 - \$50,000	2015	0	Revolving

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2.	3	Value represents book value of company as of 12/31/2016. Income represents gross income to Georgette Mosbacher Enterprises, Inc. from 1/1/2016 to 10/1/2017.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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